Electronic Acknowledgement Receipt				
EFS ID:	9761148			
Application Number:	10697851			
International Application Number:				
Confirmation Number:	9758			
Title of Invention:	PRICE IMPROVEMENT PROCESSOR FOR ELECTRONIC TRADING OF FINANCINSTRUMENTS			
First Named Inventor/Applicant Name:	Thomas Peterffy			
Customer Number:	26486			
Filer:	David William Gomes/Jeannette Collymore			
Filer Authorized By:	David William Gomes			
Attorney Docket Number:	30894-101			
Receipt Date:	29-MAR-2011			
Filing Date:	30-OCT-2003			
Time Stamp:	12:43:25			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

yes
Deposit Account
\$1055
11169
032410

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		01571016.PDF	143872	yes	3
			0c6249ff1c07ea57a32ae0b8f83b3e931058 486b		
	Multip	oart Description/PDF files in	zip description		
	Document Description		Start	End	
	Issue Fee Payment (PTO-85B)		1	1	
	Change of Address		2	3	
Warnings:					
Information:					
2 Fee Worksheet (PTO-875)	fee-info.pdf	31855	no	2	
		21502563d5917a9b038503229492b5f71c6 2590d			
Warnings:				•	
Information:					
		Total Files Size (in bytes)	. 17	'5727	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.